

## INFORMATION DISCLOSURE STATEMENT

Applicant	:	Andrew G.C. Frazier et al.
App. No.	:	Unknown
Filed	:	Herewith
For	:	METHOD AND APPARATUS FOR CLOSING A BODY LUMEN
Examiner	:	Unknown
Group Art Unit	:	Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

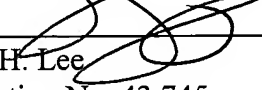
Enclosed is form PTO-1449 listing forty-four (44) references that are of record in U.S. patent application No. 09/707,293, filed November 3, 2000, now U.S. Patent No. 6,641,557, which is a divisional of patent application No. 09/399,521, filed September 20, 1999, now U.S. Patent No. 6,231,561, which are the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10-30-03

By:   
Sabing H. Lee  
Registration No. 43,745  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT          BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. MVMDINC.5DV1C1	APPLICATION NO. Unknown
	APPLICANT Andrew G.C. Frazier et al.	
	FILING DATE Herewith	GROUP Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	3,874,388	04/01/75	King et al.			
	2.	4,007,743	02/15/77	Blake			
	3.	4,710,192	12/1987	Liotta et al.			
	4.	4,950,227	08/21/90	Savin et al.			
	5.	4,950,285	08/1990	Wilk			
	6.	4,994,069	02/1991	Ritchart et al.			
	7.	5,108,420	04/28/92	Marks			
	8.	5,123,428	06/1992	Schwarz			
	9.	5,171,259	12/1992	Inoune			
	10.	5,281,238	01/1994	Chin et al.			
	11.	5,282,827	02/1994	Kensey et al.			
	12.	5,306,234	04/1994	Johnson			
	13.	5,350,399	09/27/94	Erlebacher et al.			
	14.	5,373,840	12/20/94	Knighton			
	15.	5,375,612	12/1994	Cottenceau et al.			
	16.	5,403,326	04/1995	Harrison et al.			
	17.	5,417,699	05/23/95	Klein et al.			
	18.	5,527,338	06/1996	Purdy			
	19.	5,593,422	01/1997	Muijs Van Der Moer et al.			
	20.	5,593,424	01/1997	Northrup III			
	21.	5,632,753	05/1997	Loeser			
	22.	5,709,224	01/1998	Behl et al.			
	23.	5,713,907	02/1998	Hogendijk et al.			
	24.	5,746,747	05/1998	McKeating			
	25.	5,814,068	09/1998	Koike et al.			
	26.	5,827,268	10/1998	Laufer			
	27.	5,843,118	12/1998	Sepetka et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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	FILING DATE Herewith	GROUP Unknown

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28.	5,849,004	12/98	Bramlet			
	29.	5,853,422	12/29/98	Huebsch et al.			
	30.	5,860,991	01/1999	Klein et al.			
	31.	5,861,003	01/19/99	Latson et al.			
	32.	5,865,791	02/1999	Whayne et al.			
	33.	5,868,762	02/99	Cragg et al.			
	34.	5,879,366	03/1999	Shaw et al.			
	35.	5,968,053	10/1999	Revelas			
	36.	5,957,900	09/28/99	Ouchi			
	37.	6,231,561 B1	08/28/01	Frazier et al.			
	38.	6,258,087 B1	07/10/01	Edwards et al.			
	39.	6,280,414 B1	08/28/01	Shah et al.			
	40.	6,290,674 B1	09/18/01	Roue et al.			
	41.	6,328,727 B1	12/01	Frazuer et al.			
	42.	6,419,669 B1	07/16/02	Frazier et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

43.	Blackshear JL, Odell JA., <u>Appendage Obliteration to Reduce Stroke in Cardiac Surgical Patients With Atrial Fibrillation</u> . Ann Thorac. Surg., 1996.61(2): 755-9.
44.	Lindsay BD., <u>Obliteration of the Left Atrial Appendage: A Concept Worth Testing</u> . Ann Thorac. Surg., 1996.61(2): 515.

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DATE CONSIDERED

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